

**PATENT**

**CERTIFICATE OF ELECTRONIC TRANSMISSION**

I hereby certify that this paper (and any other paper referred to as being attached or enclosed) is being transmitted electronically to the U.S. Patent and Trademark Office via the Electronic Filing System (EFS) on the date set forth below.

May 3, 2010  
Date

/MDavidGalin/  
M. David Galin

Applicant: Stephen Cutler et al.

Serial No.: 10/783,538

Art Unit: 3691

Filed: February 20, 2004

Title: SECURITIES MARKET AND MARKET MAKER ACTIVITY  
TRACKING SYSTEM AND METHOD

Examiner: Lalita M. Hamilton

Docket No.: CUTSP0104US

**REPLY TO OFFICE ACTION DATED APRIL 28, 2010**

Mail Stop: Amendment  
Commissioner for Patents  
U.S. Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Favorable reconsideration of this application is respectfully requested in view of the following amendments and remarks.